

#8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hideki Tanaka

Serial No.: 09/788,503

Group Art Unit: 2823

Filing Date: February 21, 2001

Examiner: Nguyen, Khiem D.

For: TAPE CARRIER TYPE SEMICONDUCTOR DEVICE, METHOD FOR
MANUFACTURING THE SAME, AND FLEXIBLE SUBSTRATE

Honorable Commissioner of Patents
Washington, D.C. 20231

RECEIVED
JAN 23 2003
TECHNICAL SERVICES
00928500

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents cited in the Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, English Abstracts are attached to some of the references.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

Adjustment date: 11/10/2003 EEKUBAY1
01/31/2003 YSHORT 0000004 500481 09788503
01 FC:1806 180.00 CR

00N033-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki Tanaka

Serial No.: 09/788,503

Group Art Unit: 2823

Filed: February 21, 2001

Examiner: Nguyen, Khiem D.

For: TAPE CARRIER TYPE SEMICONDUCTOR DEVICE, METHOD FOR
MANUFACTURING THE SAME, AND FLEXIBLE SUBSTRATEHonorable Commissioner of Patents
Alexandria, VA 22313-1450

ATTENTION: FINANCE DIVISION

Sir:

REQUEST FOR REFUND

A charge of \$180.00 was erroneously deducted for fee code 1806, Submission of an Information Disclosure Statement, from our Deposit Account 50-0481 on January 31, 2003. It is noted that the IDS included a certification and that no Final Office Action or Notice of Allowance has been issued. Therefore, it appears that the \$180.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$180.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date: 5/15/03

Sean M. McGinn, Esq.
Reg. No. 34,386

McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

RECEIVED

Feb 24 2003

FINA

McGINN & GIBB, P.C.

Account No.	500481
Date	1-31-03
Page	1

MCGINN & GIBB, PC
SEAN M MCGINN
8321 OLD COURTHOUSE RD.
SUITE 200
VIENNA VA 22182-3817

FJZ

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
1	3	03	3	10073858	DEC046	2202	45.00	3382.00
1	3	03	184	09574452	4-146US-CIP	8001	15.00	3367.00
1	7	03	6	09471690	373882/98	1251	110.00	3257.00
1	7	03	78	76320988		6003	100.00	3157.00
1	8	03	1	09566917	PM 268187	1814	110.00	3047.00
1	8	03	2	09566917	PM 268187	1814	110.00	2937.00
1	8	03	177	10332024	T36-150818M/	1614	10.00	2927.00
1	10	03	1	09638890	N00162US	1202	36.00	2891.00
1	21	03	2	09873430	PNDF-01036	1806	180.00	2711.00
1	23	03	13	08681412	224570	1201	84.00	2627.00
1	23	03	14	08681412	224570	1202	90.00	2537.00
1	31	03	4	ASH-DIO 09788503	00N033-US	1806	180.00	2357.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE
3427.00TOTAL CHARGES
1070.00TOTAL CREDITS
0.00CLOSING BALANCE
2357.00

05/15/03 THU 11:12 [TX/RX NO 9543] 003

*** O.D. INDICATES OVERDRAWN